

## SYSTEMATIC INVESTMENT PLAN (SIP) / SYSTEMATIC TRANSFER PLAN (STP) / SYSTEMATIC WITHDRAWAL PLAN (SWP) FORM

Application No. \_\_\_\_\_

Please use separate Form for SIP / SWP / STP for investing in each Scheme / Plan

<b>Broker Name &amp; ARN</b>	<b>Sub-Broker ARN</b>	<b>Registrar Serial No.</b>																				
ARN-25741																						
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### APPLICANTS' INFORMATION (Please fill in Block Letters)

**Name of Sole / First Applicant**  
 \_\_\_\_\_  
 PAN \_\_\_\_\_ or (please ✓)  Form 60 / 61 attached

**Name of Second Applicant**  
 \_\_\_\_\_  
 PAN \_\_\_\_\_ or (please ✓)  Form 60 / 61 attached

**Name of Third Applicant**  
 \_\_\_\_\_  
 PAN \_\_\_\_\_ or (please ✓)  Form 60 / 61 attached

**Name of Guardian (in case of Sole / First Applicant is a Minor)**  
 \_\_\_\_\_  
 PAN \_\_\_\_\_ or (please ✓)  Form 60 / 61 attached

<b>Scheme Name</b>	<b>Folio No. (for existing Unit holder)</b>
<b>Plan</b>	<b>Investment Option</b>

### SYSTEMATIC INVESTMENT PLAN (SIP)

**Mode of SIP** (please ✓)  Cheques  SIP Auto Debit Facility (In case of SIP through Auto Debit Facility attached SIP Auto Debit form needs to be filled up.)

**Period of Enrolment** FROM 

d	d	m	m	y	y	y	y	y	y

 TO 

d	d	m	m	y	y	y	y	y	y

**Frequency** (Please ✓)  Monthly  Quarterly  All Dates **No. of Transactions** \_\_\_\_\_

Cheque Number(s)	Dated (7th / 15th / 21st of every month/quarter as applicable)	Amount (Rs.)	Cheque Number(s)	Dated (7th / 15th / 21st of every month/quarter as applicable)	Amount (Rs.)
1.			7.		
2.			8.		
3.			9.		
4.			10.		
5.			11.		
6.			12.		

**Total Cheques** \_\_\_\_\_ **Total Amount** Rs. \_\_\_\_\_ **Drawn on Bank & Branch** \_\_\_\_\_

### SYSTEMATIC WITHDRAWAL PLAN (SWP)

**Withdrawal Options** (Please ✓) (Refer instruction No. 5)  Fixed Amount (Rs.) \_\_\_\_\_  Capital Appreciation

**Withdrawal Frequency** (Please ✓)  Monthly  Quarterly  7th  15th  21st

**Date** (Please ✓) \_\_\_\_\_ **Period of Enrolment** FROM 

m	m	y	y	y	y	y	y	y	y

 TO 

m	m	y	y	y	y	y	y	y	y

### SYSTEMATIC TRANSFER PLAN (STP)

Transfer From		Transfer To	
<b>Scheme</b>		<b>Scheme</b>	
<b>Plan</b>	<b>Option</b>	<b>Plan</b>	<b>Option</b>

**Transfer Frequency** (Please ✓)  Monthly  Quarterly  All Dates

**Date** (Please ✓)  7th  15th  21st

**Transfer Options** (Please ✓) (Refer instruction No. 6) Fixed Amount (Rs.) \_\_\_\_\_ OR Capital Appreciation \_\_\_\_\_ OR Dividend\* \_\_\_\_\_

**Period of Enrolment** FROM 

m	m	y	y	y	y	y	y	y	y

 TO 

m	m	y	y	y	y	y	y	y	y

 \* Subject to minimum of Rs.1000/-

### DECLARATIONS & SIGNATURE/S

I/We have read and understood the contents of the Offer Document(s) of the respective Scheme(s) of Deutsche Mutual Fund and the instructions overleaf. I/We hereby apply to the Trustees of Deutsche Mutual Fund for enrolment under the SIP/STP/SWP of the above Scheme(s)/Plan(s), as indicated above and agree to abide by the term, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme(s) and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this enrolment. I/We confirm that in the event I/We have mentioned "Not Applicable" /left the space blank against PAN in this Enrolment Form, I am/we are not required to obtain a PAN under the provisions of the Income Tax Act, 1961. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the fund, I/We authorise the Fund to redeem the funds invested in the scheme, in favour of the applicant at the applicable NAV on the date of such redemption and undertaking such other action with such funds that may be required by law. \*\*I/We hereby confirm that I/We am/are in compliance with SEBI (Central Database of Market Participants) Regulations, 2003 and agree to comply with all circulars/notifications issued thereunder from time to time. \*\* Applicable to persons mandated by SEBI to obtain Unique Identification Number.

<b>SIGNATURE/S</b>	First / Sole Applicant / Guardian
	Second Applicant
	Third Applicant

### ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Received from Mr./Ms./M/s. \_\_\_\_\_ an application for following enrolment (Please ✓ and filled in) in the Scheme \_\_\_\_\_

**SIP** Total Amount Rs. \_\_\_\_\_ Cheque Nos. From \_\_\_\_\_ To \_\_\_\_\_ drawn on \_\_\_\_\_ on  Monthly  Quarterly basis.

**STP** From above mentioned Scheme to Scheme / Plan / Option \_\_\_\_\_ Total Amount Rs. \_\_\_\_\_ OR \_\_\_\_\_ Units on  Monthly  Quarterly basis.

**SWP** Total Amount Rs. \_\_\_\_\_ OR \_\_\_\_\_ Units on  Monthly  Quarterly basis.

**ISC Stamp & Signature**  
 \_\_\_\_\_

TEAR HERE

## INSTRUCTIONS – SYSTEMATIC INVESTMENT PLAN (SIP)

1. **APPLICABLE LOAD FOR SIP**
  1. **For DWS Alpha Equity Fund and DWS Investment Opportunities Fund**
    - **Entry Load** : 2.25%
    - **Exit Load** : 0.50% if exited within 1 year of investment
  2. **For DWS Tax Saving Fund**
    - **Entry Load** : 2.25%
    - **Exit Load** : Nil
  3. **For other Schemes**
    - No entry load
    - An Exit Load equivalent to the waived Entry Load for investments made by SIP shall be applicable, if the units allotted pursuant to the SIP are redeemed within one year from the date of allotment.
2. New Investors who wish to enroll for SIP are required to fill the SIP enrolment Form in addition to the Application Form.
3. Existing Unit Holders are required to submit only the SIP Enrolment Form. Investors should note that applicant details and mode of holding will be as per the existing Folio Number.
4. Investors can invest by providing post dated cheques. All cheques shall be for the same amount and dated either 7th / 15th / 21st of the month.
5. Investors are advised to read the Offer Document(s) of the respective Scheme(s) carefully before investing. Investors should appraise themselves of the prevailing Load structure during the period of SIP.
6. The aggregate of your Systematic Investment cheque should not be less than the minimum investment requirement for the Scheme/Plan.  
Please refer the Scheme Snapshot table alongside for minimum application amount etc.
7. The cheques should be drawn in favour of "the specific Scheme" as applicable (please refer the Schemes Snapshot table alongside for details) and crossed "A/c. Payee Only". A separate SIP enrolment form must be filled for each Scheme/Plans. Unit holders must write the Folio Number on the reverse of the Cheques accompanying the Application Form.
8. Payment may be made by cheques drawn on any bank branch which is a member of the Bankers' Clearing house and is located at the place (ISC) where the SIP application is submitted. Outstation cheques will not be accepted and applications accompanied by such Cheques are liable to be rejected. No cash, money orders or postal orders will be accepted.
9. Returned Cheque(s) are liable not to be presented again for collection. In case the returned Cheques are presented again, the necessary charges are liable to be debited to the investor. The Trustees / AMC also reserve the right to discontinue the SIP in case a cheque is returned and debit the charges for the cheque return to the investors' account.
10. Unitholder may discontinue the SIP by sending a written request to the nearest Investor Service Centre. The request of discontinuance should reach to the AMC/Fund at least 7 business days prior to the due date of the next Cheque. On receipt of such request the SIP would be discontinued and balance post dated Cheques will be returned to the unitholder. In the event of discontinuation/termination of SIP for any reason, before the minimum number of Cheques are cleared, the units allotted pursuant to the SIP shall be liable to an Exit Load equivalent to the waived Entry Load at the time of redemption / switch /transfer / withdrawal of such units.
11. The Trustees / AMC reserve the right to discontinue / modify the conditions reintroduce the loads for the SIP at any time in future. Also the Trustees / AMC reserve the right to close an investor's account if the balance falls below the minimum prescribed limit.
12. An investor cannot simultaneously participate in SIP and SWP/STP in the same scheme.

### Schemes Snapshot for SIP

Scheme Name	Minimum Amount for SIP	Cheque(s) to be drawn in favour of
DWS Alpha Equity Fund	● Minimum of 6 Cheques of Rs. 1000/- each or 12 Cheques of Rs. 500/- each.	DWS Alpha Equity Fund
DWS Premier Bond Fund (Regular)		DWS Premier Bond Fund
DWS Investment Opportunity Fund	● Minimum of 4 Cheques of Rs. 1500/- each.	DWS Investment Opportunity Fund
DWS Tax Saving Fund	● Minimum 12 cheques of Rs. 500/- and in multiples of Rs. 500/- each. ● Minimum 4 cheques of Rs. 1500/- and in multiples of Rs. 500/- each.	DWS Tax Saving Fund
DWS MIP Fund (Plan A & B)	Initial Application Amount - Rs. 25000/- for Monthly & Quarterly Dividend Option, Rs. 5000/- for Annual Dividend & Growth Option and in multiples of Re. 1/- thereafter. Subsequent Investments - Rs. 1000/- and in multiples of Re. 1/- thereafter.	DWS MIP Fund

## INSTRUCTIONS – SYSTEMATIC WITHDRAWAL PLAN (SWP)

1. Unit holders are advised to read the Offer Document(s) of the respective Scheme(s) / Plans carefully.
2. New Investors who wish to enroll for SWP are requested to fill the SWP Enrolment Form in addition to the Application Form.
3. Existing Unit Holders are required to provide their Folio No. and submit only SWP Enrolment Form.
4. Unit holders must use separate 'SWP' Enrolment Forms for different Schemes.
5. Investors can choose to withdraw Fixed Amount / Capital Appreciation (available only for Growth option). The frequency for withdrawal can be monthly or quarterly on the 7th / 15th / 21st of the month and on the next business day if it happens to be a holiday. The minimum amount of SWP shall be Rs. 1,000/- for monthly and Rs. 3,000/- for quarterly.
6. **An investor cannot simultaneously participate in SIP and SWP/STP or SWP and STP in the same scheme.** No exit load would be levied for withdrawals made through SWP. However, the Trustees/AMC reserves the right to reintroduce the loads or charge waived load in case the terms and conditions as may be notified by the Trustees/AMC are not complied with. The Trustees/AMC reserves the right to modify the terms and conditions at any time in future as to close an investor's account if the balance falls below the minimum prescribed limit.
7. An updated Account Statement and the Cheque/Demand Draft towards Redemption proceeds will be despatched by mail to the Unit holder normally within 7 Business Days from the date of SWP transaction.
8. Unit holders may change the amount of withdrawal, at any time by giving the nearest Investor Service Centre a written notice at least 7 Business Days prior to the next withdrawal date.
9. SWP may be terminated on receipt of a notice from the Unit holder. It will terminate automatically if all Units are liquidated or withdrawn from the folio or pledged or upon receipt of notification of death of the first named Unit holder.

## INSTRUCTIONS – SYSTEMATIC TRANSFER PLAN (STP)

1. Unit holders are advised to read the Offer Document(s) of the respective Scheme(s) / Plans carefully.
2. New Investors who wish to enroll for STP are requested to fill the STP Enrolment Form in addition to the Application Form.
3. Existing Unit Holders are required to provide their Folio No. and submit only STP Enrolment Form.
4. Unit holders must use separate 'STP' Enrolment Forms for different Schemes.
5. Investors should clearly indicate from and to which Scheme / Plan / Option he / she wish to transfer their investment.
6. Investors can choose to transfer Fixed Amount / Capital Appreciation (available only for Growth option)/ Dividend Transfer. The frequency for transfer can be monthly or quarterly on the 7th / 15th / 21st of the month and on the next business day if it happens to be a holiday. The minimum amount of STP (except Dividend transfer) shall be Rs. 1,000/- for monthly and Rs. 3,000/- for quarterly. Dividend from DWS Floating Rate Fund and DWS Insta Cash Plus Fund, subject to a minimum of Rs. 1000/-, can be transferred into DWS Alpha Equity Fund (DAEF), DWS Investment Opportunity Fund (DIOF), DWS Tax Saving Fund (DTSF), DWS MIP Fund (DMIP), DWS Short Maturity Fund (DSMF) and Regular plan of DWS Premier Bond Fund (DPBF) but not vice versa. Dividend amongst DPBF, DMIP, DSMF, DAEF and DIOF, subject to a minimum of Rs. 1000/-, is eligible for STP.
7. Systematic transfer can be made only into DAEF, DIOF, DTSF, DMIP, DSMF, DMPF and Regular plan of DPBF. **An investor cannot simultaneously participate in SIP and SWP/STP or SWP and STP in the same scheme.**
8. No entry load would be levied for transfers made through STP. However for units allotted on account of such transfer an exit load equivalent to the waived entry load will be applicable if the units are redeemed within one year from the date of allotment. The Trustees/AMC reserves the right to reintroduce the loads or charge waived load in case the terms and conditions as may be notified by the Trustees/AMC are not complied with. The Trustees/AMC reserves the right to modify the terms and conditions at any time in future. Also the Trustees/AMC reserve the right to close an investor's account if the balance falls below the minimum prescribed limit.
9. An updated Account Statement will be despatched by mail to the Unit holder normally within 7 Business Days from the date of STP transaction.
10. Unit holders may change the amount of transfer, at any time by giving the nearest Investor Service Centre a written notice at least 7 Business Days prior to the next transfer date.
11. STP may be terminated on receipt of a notice from the Unit holder. It will terminate automatically if all Units are liquidated or withdrawn from the folio or pledged or upon receipt of notification of death of the first named Unit holder.

## INVESTOR SERVICE CENTRES (customercare@karvy.com)

### KARVY INVESTOR SERVICE CENTRES

**AHMEDABAD** ☎ 079-26420422 / 26402967 **BANGALORE** ☎ 080-25320085 / 86 **BARODA** ☎ 0265-2225210 / 2361514 **BHUBANESHWAR** ☎ 0674-2547533 / 2547532 **CHANDIGARH** ☎ 0172-5071726 / 5071727 / 5071728 **CHENNAI** ☎ 044-52028858 (D) / 52028512 **COCHIN** ☎ 0484-310884 / 322152 **COIMBATORE** ☎ 0422-2237501 / 2237502 / 2237503 **GUWAHATI** ☎ 0361-2608122 / 2608016 / 2608102 **HYDERABAD** ☎ 040-23312454 Extn. 488 / 119 **INDORE** ☎ 0731-3014204 / 05 **JAIPUR** ☎ 0141-2363321 / 2375039 **JAMSHEDPUR** ☎ 0657-2487045 / 2487048 **KANPUR** ☎ 0512-3127111 / 3096000 **KOLKATA** ☎ 033-24659267 (D) / 24659263 **LUCKNOW** ☎ 0522-2236828 / 19 **MUMBAI** ☎ 022-56346513 (D) / 56381746 to 50 **NAGPUR** ☎ 0712-5656102 / 5656103 / 5656101 **NEW DELHI** ☎ 011-51511627 (D) **PANJIM (GOA)** ☎ 0832-2426871 / 2426872 / 2426873 **PATNA** ☎ 0612-2321354 / 2321356 **PUNE** ☎ 020-25533795 / 83 / 3592 **RAJKOT** ☎ 0281-2239404 / 2233179 **SURAT** ☎ 0261-3017155 / 156 **TRIVANDRUM** ☎ 0471-2725987 / 2725989 / 2725991 **VISAKHAPATNAM** ☎ 0891-2752915 / 2752916 / 2752917